

# Comments On The Amendment In Response To The First Office Action

## I. General

- A. Most people followed the template pretty well. I think it really helped people write their Amendments, right?
- B. It may seem repetitious to write out the claim actions in summary and then write out each of the rejections in a summary, but the process is a check to make sure that you have responded to all of the rejections.
- C. Did the rejection look scary in the beginning? Did you keep a positive attitude? How did it feel to be rejected?
- D. Don't take it personally -  
Remember when I mentioned that people often take it personally and how bad that is? You may have laughed internally and thought that the people who were taking it personally must be a bunch of idiots. However, now that you are in that situation, it's not so easy to decline to take it personally, is it?

## II. Front Page Matters

- A. Spell out the dates.
- B. The period for reply is 3 months – until July – as per the Office Action.
- C. Be sure to use the mail stop and enter the Examiner's name.

## III. Amending Spec and Claims

Reminders – For what I think is the first time, everyone got these right.

- A. If you don't have an identifier right, then your Amendment is Non-compliant. A Non-complaint amendment is not entered and is bounced back to you for correction. It would never even make it to the Examiner and you would be fixing it for free. This is a pretty big embarrassment. FYI – Here's how picky the PTO is about claim identifiers - The PTO has rejected me for (Current Amended) instead of (Currently Amended).

- B. You MUST underline new additions to your claim and strike out the parts that you are removing – otherwise you are non-compliant. Remember that you can only use double brackets when deleting 5 characters or less.
- C. If you mis-stated the Examiner’s rejections, you are non-compliant. You can’t separate out a rejection of claims 1-10 into a rejection of claims 1-5 and a rejection of claims 6-10.

#### IV. The Examiner’s Actions

##### A. The Examiner’s Interpretation of the Claims

Notice that the Examiner often did not adopt your preferred understanding of the claim terms. Instead the Examiner adopted the broadest reasonable interpretation of the claims. That is, if the Examiner can find a way to make the PA references teach the claims, then the Examiner is going to do it. Your understanding of the claim terms is irrelevant. Get used to it.

Write better claims that the Examiner can’t co-opt or run wild with. Use clear language. Use claim terms with clear boundaries.

Some Examples:

“server” is anything that serves

“command server” is just a server – at least without further structure in the claims

“measurement data” is any data without further structure in the claims.

- B. Weaver is not prior art under §102(b) as indicated by the Examiner. 5 people apparently picked up on this. In response, all you are legally bound to do is just point out that it is not prior art under §102(b). However, if that is all that you do, the Examiner could merely change the cite to §102(e) – and likely will in the next Office Action. You have just burned an Office Action and not made progress. Alternatively, you both assert that it is not §102(b) prior art and proceed to distinguish on the merits. However! – you would not admit on the record that the reference actually constitutes prior art under §102(e) – doing so eliminates your ability to later swear behind the reference.

## V. Applicant's Actions

### A. Clarity

1. Several Office Actions were not very clear. Your argument to the Examiner must be clear and capable of immediate understanding. This is an opportunity to practice your advocacy,
2. Add some spacing between the rejections to make your Amendment easier to read. Strive for clarity.
3. Clarity is what really gets claims allowed – a clear claim distinction from the prior art.
4. It may be more clear to just focus your argument on one or two big, clear distinctions rather than potentially confusing the issue with marginal distinctions. Additional arguments may not be helpful.
5. One of the biggest problems in general is the lack of clarity in the claims – there are often few “solid” limitations created by well-defined structural or functional language. Because the claim limitations are not clear, it is difficult for people to make clear arguments as to why a specific claim should be allowable. Now that we are working on the final Office Action, you may want to consider a sizable amendment.
6. You can see now how important it was to include very clear and step-by-step language in your original specification. That clear language may be what you need to add to a claim to get around a rejection. The problem is that you never know exactly which step will be implicated by the prior art. The fix? disclose everything very rigorously because you never know.

- B. In many cases, people argued claim limitations that did not appear in their actual claim. The element that you say is missing from the prior art must be in your claims – pretty much word for word. Also, directly quote it from your claim – this helps you stay identical to the claim language and helps the Examiner find it in the claim.

C. Discussing the References

1. Some people tried to tell the Examiner that the reference did not teach what the Examiner said the reference taught. Sometimes this is true, but you will have to make an absolutely clear and inescapable argument so that the Examiner has no wiggle room in order to get the Examiner to change his position. If the Examiner can wiggle away, he will.
2. Support your arguments! Cite to the spec (Col. and Ln. or Paragraph ) and the drawings. It builds credibility and comfort with the Examiner.
3. If something is really important and it works in context, quote it. However, be careful not to over-quote or quoting loses its ability to stand out.
4. It is almost always easier for the Examiner to say NO than to allow your claims. If the Examiner is uncomfortable or your claim is not clear, the Examiner is likely to maintain the rejection. You must make a clear showing that the Examiner can feel comfortable with.
5. Some people gave me a feeling that they were just kind of “raising the issue” and their thought was that is they referred to the issue then the Examiner would go back and reconsider his previous position. It doesn’t work like that. Unless the Examiner finds something very persuasive in your Amendment, then he will just keep the rejection in place and not second-guess himself.
6. You have to make the argument extremely clear and un-escapable. The Examiner wants to do the easy thing, which is to maintain the rejection unless there is really no way for him to do so.

D. SPELL out your conclusions very explicitly. It is not enough to say “Weaver only teaches direct communication, therefor claims 1-10 are allowable.” First of all, what really matters is not what Weaver teaches, but that weaver does NOT teach something in the claim. Second, we need to point that something out very explicitly to the Examiner. The Examiner

is not going to go out of his way to investigate an issue that you raise. If the Examiner reads something and is not immediately convinced, then the rejection is maintained. They are also not necessarily going to make the same connections that you are. Therefore, explicitly spell it out.

Remember those old geometry proofs where you had to go step by step until you reached Q.E.D.? That's the step-by-step, explicit process that we want here. Don't leave "gaps of assumption" in your argument.

Carefully place each "brick" of your argument on top of the last.

- E. Watch out when you make big sweeping statements. They are 1) not needed, 2) not persuasive to the Examiner, and 3) may bite you. For example: Stating that "the PA" "Does not teach a server storing a profile" vs. "Weaver does not teach a server storing a profile as recited in claim 1."
- F. NEVER refer to "the present invention"! Always just say "as recited in claim 1 ...". This is terrible prosecution history estoppel
- G. If you are adding new claims, insert "Please add the following new claims" in the claim list to help the Examiner notice the new claims. Also, you would typically remark about the new claims in the Remarks section – especially an independent claim. If it was a new independent claim, you would typically point out the claim element that you think makes the claim allowable over the prior art.
- H. Also, if you are canceling all claims and adding a new claim set, the previous rejections are all moot in light of your new claims. State that the rejections are moot because the claims have been canceled, but then introduce the new claims separately and point out the limitation that distinguishes them from the known prior art.
- I. When you are amending a claim, delete a whole word - no "and"
- J. It is typically clearest to respond to the Examiner's rejections in order – otherwise, the Examiner may assume that you missed a rejection and bounce your response as non-compliant. Keep in mind that the Examiners are just interested in getting your file off of their desk as quickly as possible.

Also - You must address ALL of the Examiner's rejections

Even if you are cancelling the underlying claims, mention the rejection and mention that the claims have been cancelled.

- K. Be careful about how much credit you give the prior art – When you say “the prior art teaches”, the Examiner is entitled to use anything that you say as an admission against you – even if it is not true.
- L. Remember – If you had claims 1-30 rejected and are now adding claims 31 and 32 with your Amendment, then your application still only includes claims 1-30 until claims 31-32 are entered into the Application by the Examiner.  
Proper: The present application includes claims 1-30. By this Amendment, claims 31-32 have been added.  
Improper: The present application includes claims 1-32.
- M. Watch out when reciting something like “the profile is selected” without reciting a structural or functional element that does the selecting – otherwise, it could be construed as a mental step. There were several other similar aspects to this such.
- N. Also watch out for slang - “takes a measurement”, “falling outside the parameters”, “falls outside a range”.